

Einstein's steady-state theory

An abandoned model of the universe

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Einstein's steady-state theory: an abandoned model of the cosmos

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We present a translation and analysis of an unpublished manuscript by Albert Einstein in which he attempted to construct a 'steady-state' model of the universe. The manuscript, which appears to have been written in early 1931, demonstrates that Einstein once explored a cosmic model in which the mean density of matter in an expanding universe is maintained constant by the continuous formation of matter from empty space. This model is very different to previously known Einsteinian models of the cosmos (both static and dynamic) but anticipates the later steady-state cosmology of Hoyle, Bondi and Gold in some ways. We find that Einstein's steady-state model contains a fundamental flaw and suggest that it was abandoned for this reason. We also suggest that he declined to explore a more sophisticated version because he found such theories rather contrived. The manuscript is of historical interest because it reveals that Einstein debated between steady-state and evolving models of the cosmos decades before a similar debate took place in the cosmological community.

Comments: 22 pages, 2 figures. Includes first English translation of unpublished Einstein manuscript. Accepted for publication in Eur.Phys.J.(H)

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The discovery of the galaxies (1925)

Hooker telescope (Mt Wilson)

100-inch reflector (1917)

Edwin Hubble (1921)

Ambitious and dedicated astronomer

Resolved Cepheid stars in nebulae (1925)

Leavitt's period-luminosity relation

Standard candle

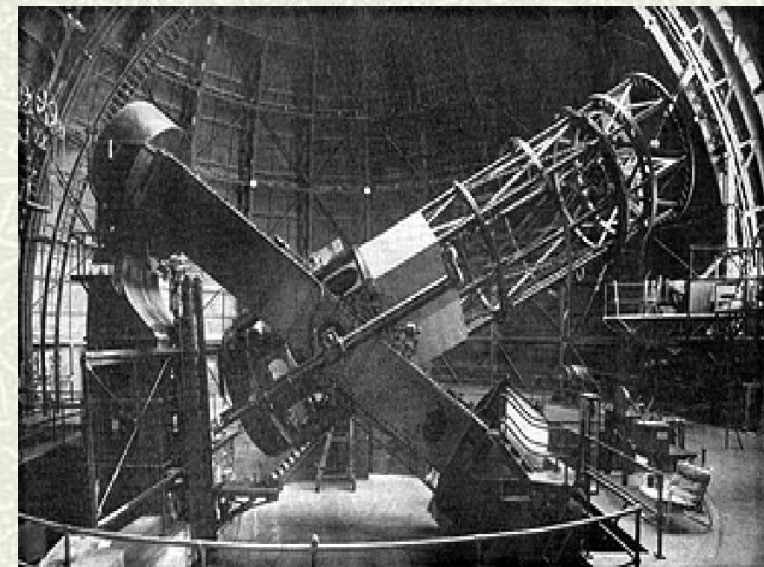
Spirals beyond Milky Way

Beginning of end of 'Great Debate'

Nebulae = galaxies



Edwin Hubble (1889-1953)



The recession of the galaxies (1929)

■ A redshift/distance relation for galaxies?

Motivation: establishing distance to the galaxies

■ Combine 24 nebular distances with redshifts

Redshifts from Slipher : not acknowledged

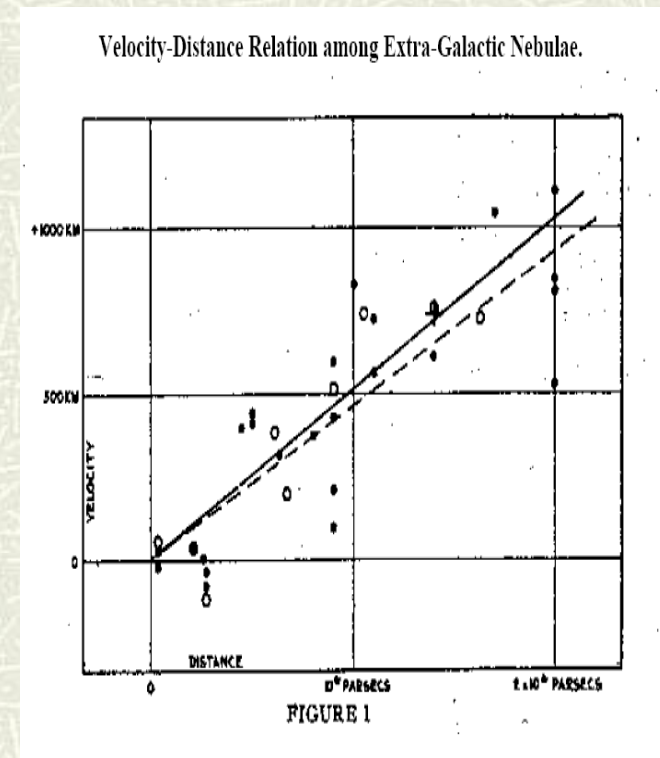
■ Approx linear relation (Hubble, 1929)

Some errors (Peacock)

Most important point not shown

■ What do the redshifts mean?

Reference to de Sitter universe



$$H = 585 \text{ kms}^{-1} \text{Mpc}^{-1}$$

Explanation for runaway galaxies?

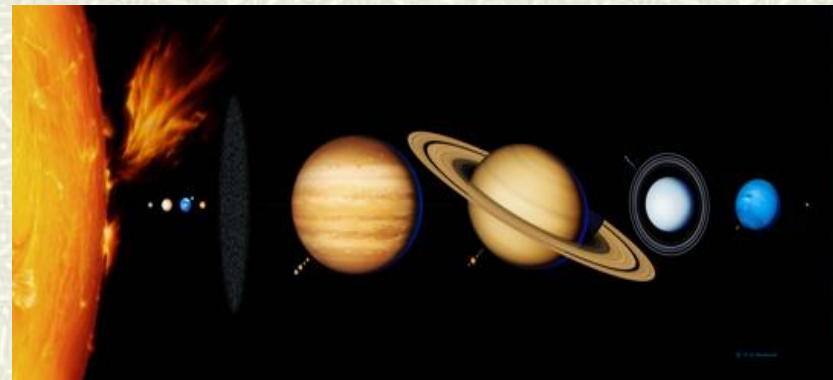
Newton

- Gravity pulls in not out
- Universal long range force
- Space is fixed
- Time has no beginning

*How can galaxies be receding?
What is pushing out?*



Isaac Newton



A new theory of gravity : general relativity

Space+time = space-time

Spacetime dynamic (1905)

Spacetime distorted by mass

Distortion causes other mass to move (1915)

Gravity = curvature of space-time

$$G_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$

Dyson/Eddington expeditions (1919)

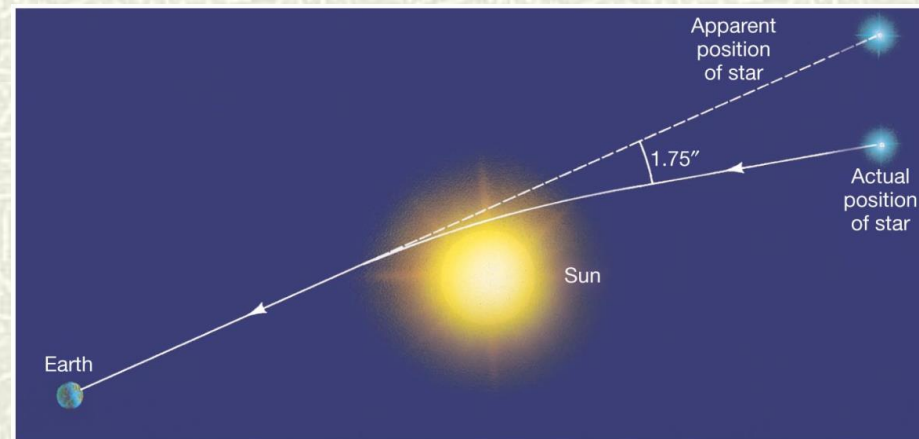
Measure bending of light?

Successful result

General relativity well-known



Albert Einstein



Relativity and the universe

Einstein model (1917)

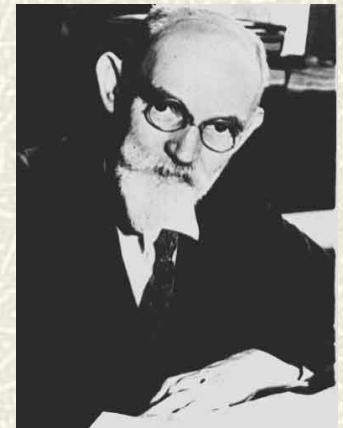
- Homogenous fluid of uniform density
- Equations predict non-static universe
- No evidence for such a universe
- Add cosmic constant – ‘static’
- Closed curvature, finite radius



$$G_{\mu\nu} + \lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$

De Sitter (1917)

- Empty universe
- Apparently static (co-ordinate system)
- Cosmic constant determined by curvature of space
- Redshifts due to time dilation/matter



Disliked by Einstein: Mach's principle

Friedman models of the cosmos



Alexander Friedman 1888 -1925

Allow time-varying solutions to the field equations

Expanding, contracting universes

Include cosmic constant

Geometry, evolution depends on matter

Positive curvature (1922)

Hyperbolic curvature (1924)

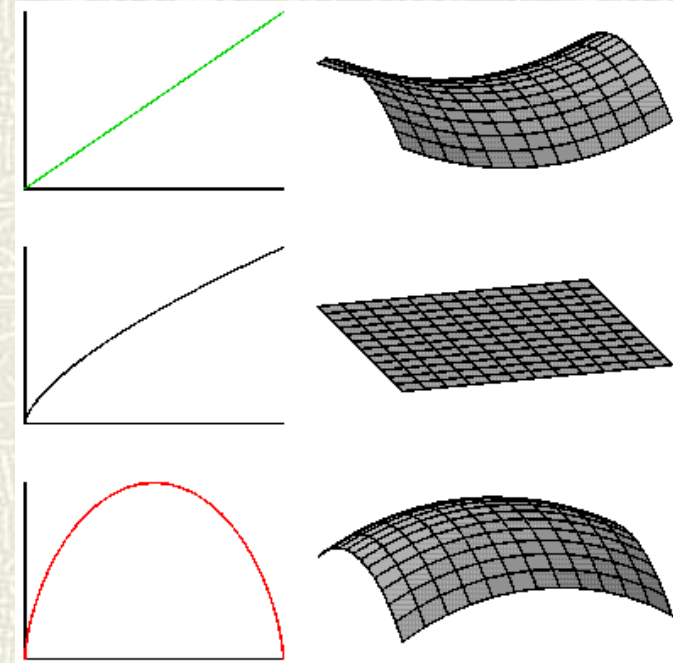
Hypothetical models (Zf. Ph.)

To be decided by astronomy

Disliked by Einstein

Correction and retraction

Ignored by community



Lemaître's universe (1927)



De Sitter model not static (1925)

New evolving solution : Einstein \rightarrow de Sitter

Redshifts of galaxies = cosmic expansion?

Rate of expansion from mean distances and redshifts

$$H = 585 \text{ km/s/Mpc}$$

Fr Georges Lemaître

Not an empirical law

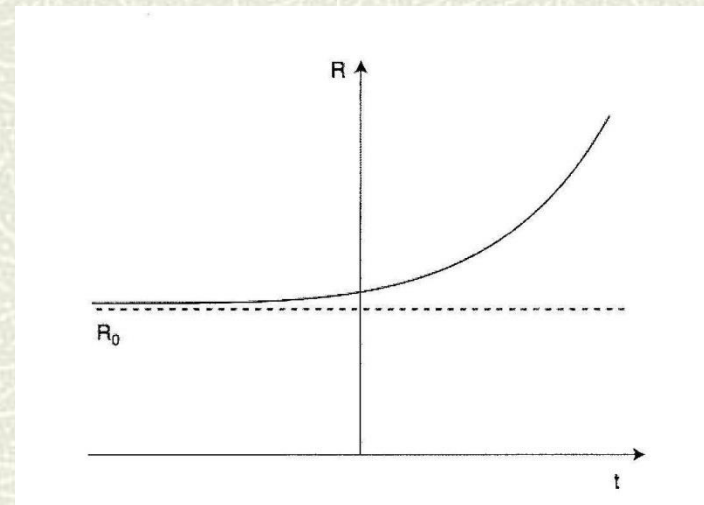
Edited in 1931 translation

No beginning: indefinite age

Starts from Einstein universe at $t = -\infty$

Rejected by Einstein (1927)

“Votre physique est abominable”



An expanding universe? (1930-)

- **RAS meeting (1930)**

Eddington, de Sitter

Redshift/distance relation of the nebulae

Einstein/de Sitter models don't fit

New model required

- **Expansion of space-time metric?**

Considered by many theoreticians

If redshifts are velocities (Zwicky)

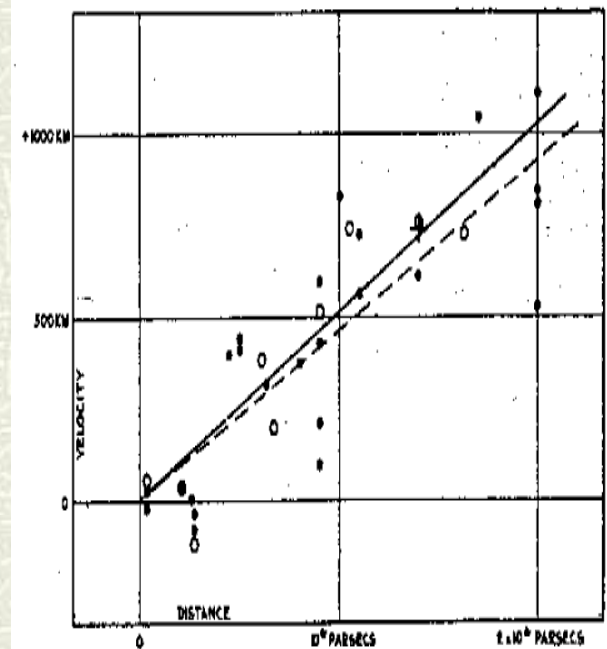
If effect is non-local

- **Letter from Lemaître**

Reminds Eddington of his 1927 model

Eddington, de Sitter impressed

Velocity-Distance Relation among Extra-Galactic Nebulae.



Cosmic expansion?

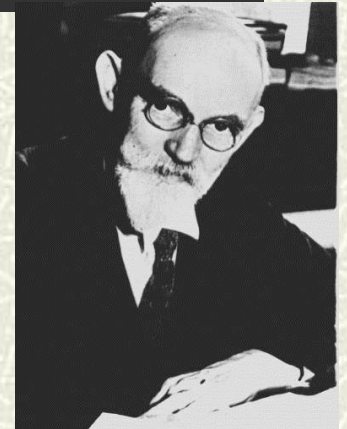
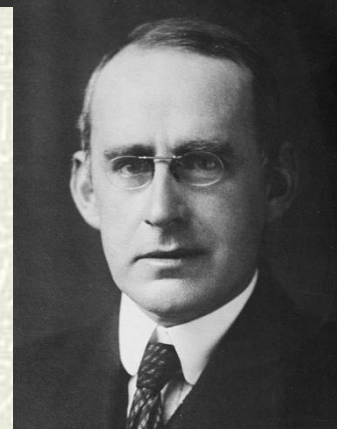
The expanding universe (1930 -)

- **Eddington (1930, 31)**

On the instability of the Einstein universe

The Eddington-Lemaître model

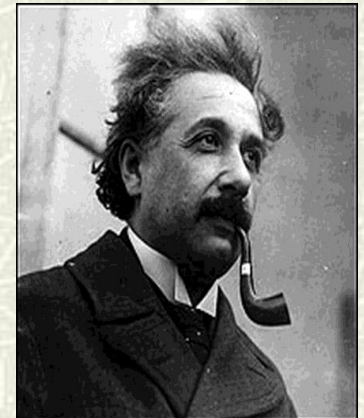
Expansion caused by condensation?



- **de Sitter (1930, 31)**

Further remarks on the expanding universe

Expanding universes of every flavour



- **Tolman (1930, 31)**

On the behaviour of non-static models

Expansion caused by annihilation of matter ?

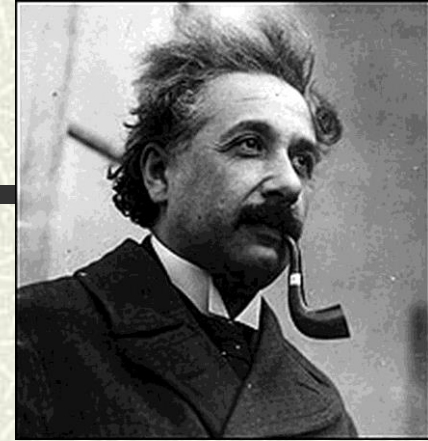
- **Einstein (1931, 32)**

Friedman-Einstein model $\lambda = 0, k = 1$

Einstein-deSitter model $\lambda = 0, k = 0$

If redshifts represent expansion...
Evolving models

Einstein's 1931 model ($F-E$)



✦ Instability of static universe

Eddington's paper

✦ Hubble's observations

Expanding cosmos

Remove cosmic constant?

Friedman-Einstein universe

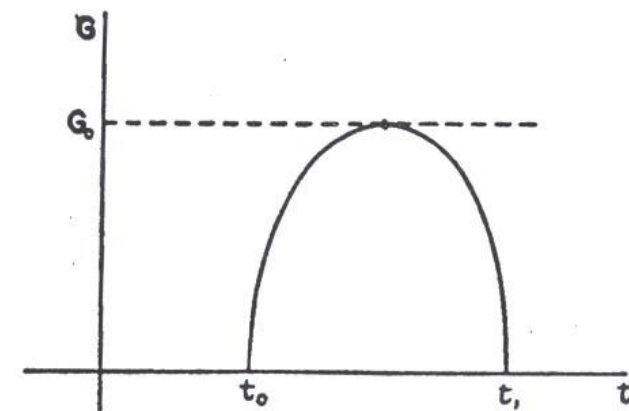
$$\left(\frac{dP}{dt}\right)^2 = c^2 \frac{P_0 - P}{P}$$

✦ Adopt Friedman 1922 analysis

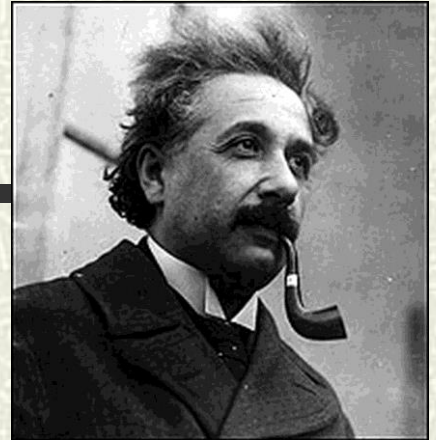
Time-varying universe, $k=1$, $\lambda=0$

✦ Age and singularity problems

Attributes to limitations of theory



Einstein's 1931 model (F-E)



Oxford lecture (May 1931)

■ Numerical estimates of radius and density

Use Hubble parameter

$$P \sim 10^8 \text{ light-years}, \rho \sim 10^{-26} \text{ g/cm}^3$$

■ Calculations problematic

$$H_0 \sim 500 \text{ kms}^{-1} \text{Mpc}^{-1} : D^2 \sim 10^{-55} \text{ cm}^{-2}$$

■ Age estimate problematic

Age from Friedman

■ Not a periodic solution

"Model fails at $P = 0$ "

$$\begin{aligned} D &= \frac{1}{c} \frac{1}{l} \frac{dl}{dt} = \frac{1}{c} \frac{1}{P} \frac{dP}{dt} \\ D^2 &= \frac{1}{P^2} \frac{P_0 - P}{P} \sim \frac{1}{P^2} \quad (1a) \\ D^2 &= \frac{K_0}{3} \frac{P_0 - P}{P} \sim \frac{1}{P} K_0 \quad (2a) \\ D^2 &\sim 10^{-53} \\ \rho &\sim 10^{-26} \\ P &\sim 10^8 \text{ L.y.} \\ \lambda &\sim 10^{10} (10^{11}) \end{aligned}$$

An origin for the universe?

Rewind Hubble graph (1931)

U smaller in the past

Extremely dense, extremely hot

Primeval atom

Expanding and cooling since

Singularity problem

∞ density, ∞ temp at $t = 0$?

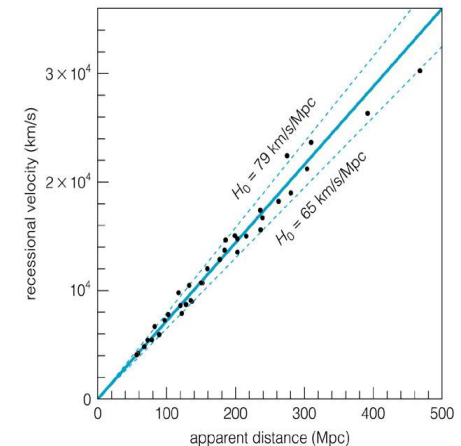
Quantum theory

Age problem

U younger than stars?



The big bang



Slow acceptance: 1935-65

Little interest from community

General relativity difficult, abstruse

Mathematics departments only

Cosmic parameters unknown

No search for the cosmic radiation



Gamow, Alpher and Hermann

Hot big bang (1940s)

Nucleosynthesis in the hot infant universe?

Background radiation from early universe?

Hoyle, Bondi and Gold

Steady-state universe (1948)

Expanding but unchanging

No age or singularity problems



The steady-state universe

- ‡ **Expanding but unchanging universe**

No beginning, no age paradox

- ‡ **Avoids extrapolation problem**

No assumptions about physics of early epochs

- ‡ **Continuous creation of matter**

Very little matter required (1948)

$$G_{\mu\nu} + C_{\mu\nu} = k T_{\mu\nu}$$

Violates conservation of energy

- ‡ **Improved version (1962): energy conservation**

$$G_{\mu\nu} + \lambda g_{\mu\nu} = k T (C_{\mu} + C_{\nu})$$



Hoyle and Narlikar (1962)

A bitter debate

Steady-State or Big Bang universe?

Unchanging or evolving universe?

Study most distant galaxies

Compare with local galaxies

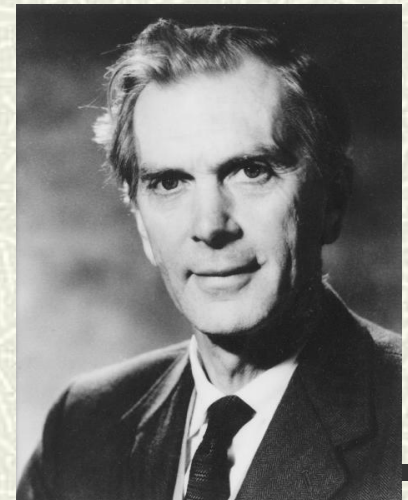
Galaxy distribution constant over time? (SS)

Distribution changing over time ? (BB)

Radio-astronomy (Ryle)

Cambridge Surveys

Answer: evolving universe



Cosmic microwave background

Search for radio signals

Large, sensitive receiver

Ubiquitous signal (1965)

From every direction

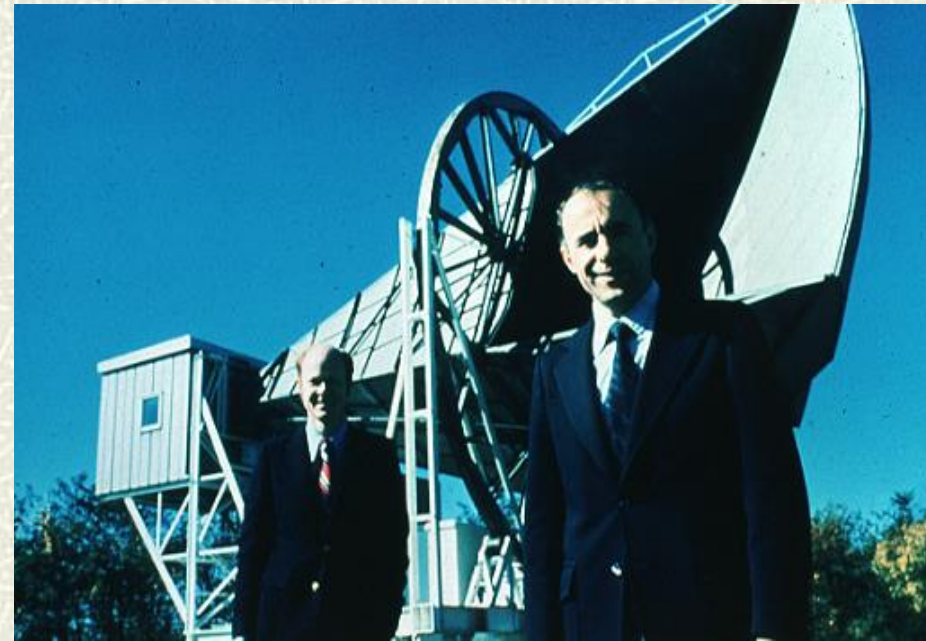
Low frequency (microwave)

Low temperature (3K)

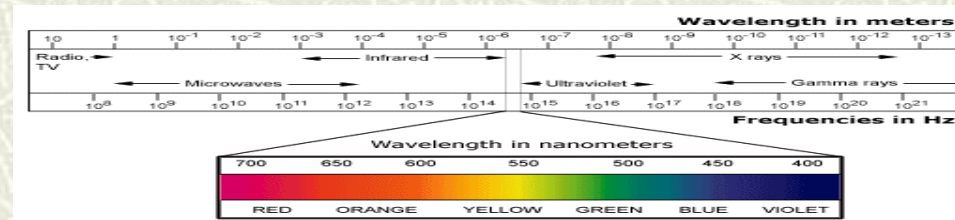
Echo of big bang

Radiation from early universe

BB model goes mainstream



Penzias and Wilson

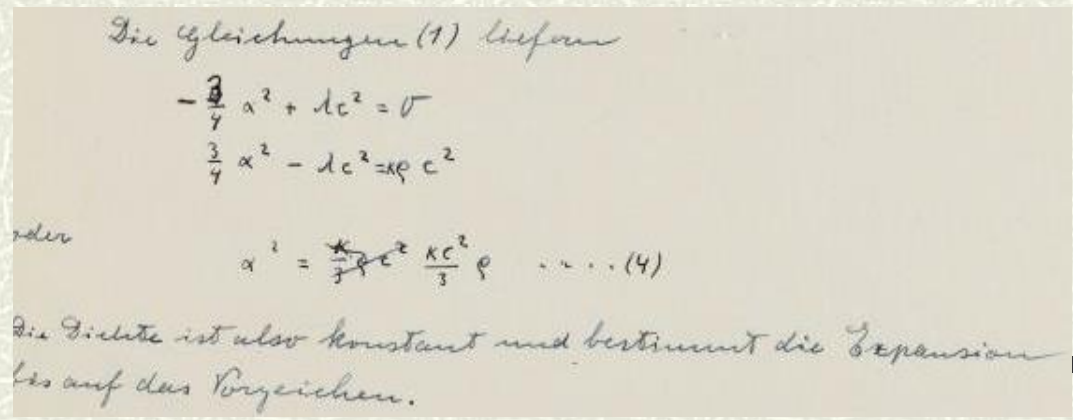
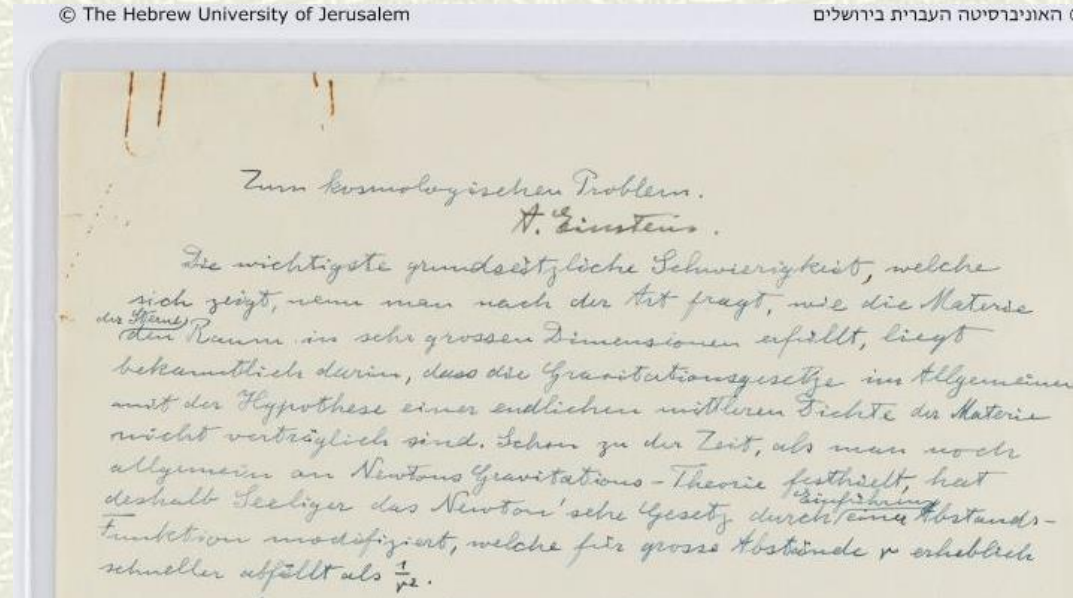


New: Einstein's steady-state model (1931?)

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האוניברסיטה העברית בירושלים

- # **Filed as draft of 1931 model**
Similar title, opening
- # **Instability of static universe**
Cites Hubble's law
- # **Cites evolving models (Tolman)**
Conflict with stellar ages
- # **Proposes alternative solution**
Expanding, unchanging cosmos?
Continuous creation of matter
Associated with λ - energy of space



Einstein's steady-state model: key quotes

New solution

“In what follows, I wish to draw attention to a solution to equation (1) that can account for Hubbel's facts, and in which the density is constant over time”

Matter creation

“If one considers a physically bounded volume, particles of matter will be continually leaving it. For the density to remain constant, new particles of matter must be continually formed within that volume from space “

Dark energy

“The conservation law is preserved in that, by setting the λ -term, space itself is not empty of energy; its validity is well known to be guaranteed by equations (1).”

Einstein's steady-state model

Model fails

De Sitter metric

No creation term in GFE

λ not sufficient

Die Gleichungen (1) liefern

$$-\frac{3}{4} \alpha^2 + \lambda c^2 = 0$$

$$\frac{3}{4} \alpha^2 - \lambda c^2 = \kappa \rho c^2$$

oder

$$\alpha^2 = \frac{\kappa c^2}{3} \rho \quad \dots (4)$$

Die Dichte ist also konstant und bestimmt die Expansion bis auf das Vorzeichen.

Null solution masked by error

Error in Christoffel coefficient

Einstein's crossroads

Realised problem on revision

Declined to alter GFE

Im Nachfolgenden will ich auf eine Lösung der Gleichung (1) aufmerksam machen, welche Hubble's Thatsachen gerecht wird, und in welcher die Dichte zeitlich konstant ist. Diese Lösung ist zwar in dem allgemeinen Schema Tolman's enthalten, scheint aber bisher nicht in Betracht gezogen worden zu sein.

Ich setze an

$$ds^2 = -e^{\alpha t} (dx_1^2 + dx_2^2 + dx_3^2) + c^2 dt^2 \quad \dots (2)$$

Der Erhaltungssatz bleibt dadurch gewahrt, dass bei Setzung des λ -Gliedes der Raum selbst nicht energetisch leer ist; seine Geltung wird bekanntlich durch die Gleichungen (1) gewährleistet.

Evolving models

Less contrived and set $\lambda = 0$

Die Gleichungen (1) liefern

$$-\frac{3}{4} \alpha^2 + \lambda c^2 = 0$$

$$\frac{3}{4} \alpha^2 - \lambda c^2 = \kappa \rho c^2$$

oder

$$\alpha^2 = \frac{\kappa \rho c^2}{\frac{3}{2}} = \frac{2}{3} \kappa \rho c^2 \quad \dots (4)$$

Die Dichte ist also konstant und bestimmt die Expansion bis auf das Vorzeichen.

Taking $T_{44} = \rho c^2$ (all other components zero) in the *time* component of equation (1) we obtain $\left(R_{44} - \frac{1}{2} g_{44} R\right) - \lambda g_{44} = \kappa \rho c^2$.

This gives on analysis $-3\alpha^2/4 + 3\alpha^2/2 - \lambda c^2 = \kappa \rho c^2$ the second of Einstein's simultaneous equations.

From the *spatial* component of equation (1), we obtain

$$\left(R_{ii} - \frac{1}{2} g_{ii} R\right) - \lambda g_{ii} = 0.$$

This gives on analysis $3\alpha^2/4 - 3\alpha^2/2 + \lambda c^2 = 0$ for the first of the simultaneous equations.

It is plausible that Einstein made a sign error here, initially getting $3\alpha^2/4 + 3\alpha^2/2 + \lambda c^2 = 0$ for this equation.

Einstein's steady-state model and cosmology today

Accelerated expansion (1998)

Supernova measurements

Dark energy – positive cosmological constant

Einstein's dark energy

“The conservation law is preserved in that, by setting the λ -term, space itself is not empty of energy; its validity is well known to be guaranteed by equations (1).”

Anticipates positive cosmological constant

De Sitter line element

$$ds^2 = -e^{\alpha t} (dx_1^2 + dx_2^2 + dx_3^2) + c^2 dt^2 \dots$$

Necessary for all steady-state models

Identical to inflationary models (different time-frame)

Einstein's lost theory uncovered

Physicist explored the idea of a steady-state Universe in 1931.

Daide Castelvechi

24 February 2014

New Discovery Reveals Einstein Tried To Devise A Steady State Model Of The Universe

2 comments, 2 called-out + Comment Now + Follow Comments

Almost 20 years before the late Fred Hoyle and his colleagues devised the [Steady State Theory](#), Albert Einstein toyed with a similar idea: that the universe was eternal, expanding outward with a consistent input of spontaneously generating matter.

An Irish physicist came across the paper last year and could hardly believe. According to this week's article in [Nature](#),

model of the universe very different to today's [Big Bang](#) Theory.

The manuscript, which hadn't been referred to by scientists for decades,



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Einstein's Lost Theory Uncovered

The famous physicist explored the idea of a steady-state universe in 1931

nature

Feb 25, 2014 | By Daide Castelvechi and Nature magazine

A manuscript that lay unnoticed by scientists for decades has revealed that Albert Einstein once dabbled with an



www.irishtimes.com/news/science/wit-researchers-discover-lost-einstein-model-of-universe-1.1713487

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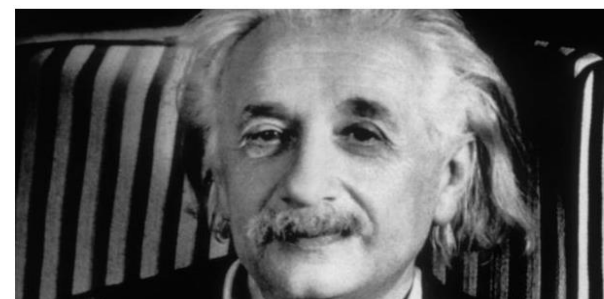
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